

RISK MANAGEMENT POLICY

- 1 Proper verification of client, his financial capacity to be done before registration.
- 2 These details to be reviewed and updated periodically.
- 3 Proper margin in shape of cheques /funds & securities as per Exchange / SEBI to be collected.
- 4 Margins to be supervised on daily basis.
- 5 Debit balances to be monitored regularly & steps to be taken for quick recovery.
- 6 Clients accounts showing financial transactions, securities transactions & balances financial as well as shares to be sent quarterly & to be reconciled.
- 7 Cheques to be received /given only from/to recorded and uploaded Bank account of client.
- 8 Securities to be received /given only form / to recorded & uploaded demat account of client.
- 9 Limits of clients to be set through software on the basis of available balances-financial as well as of shares.

FOR SANCHIT FIN. & MGT. SERVICES LTD.,

**HARSH KUMAR)
DIRECTOR**